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P. State B.	TDANIONITTAL		Application Number	10/551,453		
D. F. Barrell	TRANSMITTAL		Filing Date September 29, 2009			
	FORM	First Named Inventor		Izumi Akiyama		
			Art Unit	3749		
(to be used for all correspondence after initial filing)			Examiner Name	Unassigned		
Total Num	ber of Pages in This Submission	5	Attorney Docket Number	037412-002		

Total Number of Pages in Thi	s Submission	on 5 Attorney Docket Number			037412-002			
		ENCLO	SURES (check all th	at apply)				
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to TC			
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Petition				Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application				Proprietary Information		
Affidavits/declaration	o(s)	Power of Attorney, Revocation Change of Correspondence Address				Status Letter		
Extension of Time Request		Terminal Disclaimer				Other Enclosure(s) (please identify below):		
		Request for Refund			6 pieces of art			
Express Abandonment F	Request	CD, Number of CD(s)						
	tatement	Landscape Table on CD					H	
Certified Copy of Priority Document(s)		marks						
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53								
	SIGNATU	JRE OF A	APPLICANT, ATTO	RNEY, O	R AGENT			
Firm	THE	THELEN REID BROWN RAYSMAN & STEINER LLP						
Signature		S-Blax						
Printed Name		Suvashis Bhattacharya						
Date	4	42607 Reg.			46,554			
	CE	RTIFICA	TE OF TRANSMIS	SION/MAI	LING		=	
I hereby certify that this con Service with sufficient post Alexandria, VA 22313-1450	age as first cla	ss mail ir	simile transmitted to the an envelope addre	the USPTO	or deposited Commissioner	with the United States Po for Patents, P.O. Box 14	stal 450,	
Signature	1.1	3						
Typed or printed name	Monica Pizarro	lizarro			Date	4/27/07		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10551453 - GAU: 3652



Docket No.: 037412-002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Izumi Akiyama

**SERIAL NO.:** 

10/551,453

**CONFIRMATION NO.: 3003** 

FILING DATE:

September 29, 2005

TITLE:

Non-Contact Holder Device And Non-Contact Holding And Conveying

Device

**EXAMINER:** 

Unassigned

ART UNIT:

3749

## **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 4/27/07

Mail Stop: AMENDMENT

**COMMISSIONER FOR PATENTS** 

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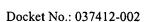
## INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits this Information Disclosure Statement (IDS) including a Form PTO-1449 containing a list of references which may be material to the examination of the abovereferenced application. This submission is not to be construed as a representation that a prior art search has been conducted, that additional information material to the examination of this application does not exist, or that any one or more of the listed references constitutes prior art.

The Examiner is urged to carefully consider this Information Disclosure Statement and all the listed references, and to indicate same by initialing the appropriate portion(s) of the Form PTO-1449 and forwarding an initialed copy to applicant.

Pursuant to 37 C.F.R. § 1.98(2) legible copies of prior art references other than U.S. Patents and U.S. Patent Application Publications are attached.

SV #291680 v1 1





This statement is filed pursuant to (CHECK ONE BOX):

$\boxtimes$	37 C.F.R. § 1.97(b).
	This information disclosure statement is filed either:
	(1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
	(2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
	(3) before the mailing date of a first office action on the merits; or
	(4) before the mailing of a first office action after the filing of a Request for Continued
	Examination under 37 C.F.R. §1.114, whichever event occurs last.
	Accordingly, this information disclosure statement requires no fee and no certification.
	37 C.F.R. § 1.97(c).
	This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but
	before the mailing date of any of the following:
	(1) a final action under 37 C.F.R. § 1.113;
	(2) a notice of allowance under 37 C.F.R. § 1.311; or
	(3) an action that otherwise closes prosecution in the application.
	Accordingly, this information disclosure statement requires either:
	(1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure
	statement under 37 C.F.R. § 1.97(c); or
	(2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).
	37 C.F.R. § 1.97(d).
	This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).
	Accordingly, this information disclosure statement requires:
	(1) a certification in accordance with 37 C.F.R. § 1.97(e); and
	(2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure
	statement under 37 C.F.R. § 1.97(d).

I

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

SV #291680 vl 2

10551453 - GAU: 3652

Docket No.: 037412-002

II

Fees Due (C	CHECK ONE BOX):
$\boxtimes$	No fee is due.
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).
	III
Certification	(CHECK ONE BOX):
$\boxtimes$	No certification is necessary.
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.
	IV
Pleas	se charge any additional required fee or credit any overpayment to our deposit
account num	iber 50-1698.
Dated: <u>Apri</u>	Respectfully submitted, THELEN REID BROWN RAYSMAN & STEINER LLP  Suvashis Bhattacharya Reg. No. 46,554

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SV #291680 v1 3

Page <u>1</u> of 1

Form PTO 1 (Rev. 2-32)	449 U.S. Department of C Patent and Trademark	Atty. Docket No. 037412-002	10/551	Serial No. 10/551,453					
SIPE	Deformation Disclosure Statement by Applicant				Applicant: Izumi Akiyama				
74	(Use several about if recessory)				Group	Group: 3749			
APR 3 0 2007	\$.	Forei	gn Documents	<b>6</b>					
à	<b>\$</b> /					Trans	slation		
/DK/	Document No.	Date	Country	Class	Subclass	Yes	No		
1	JP8-203984	08/09/1996	JP		ļ	(abstract)			
/DK/	JP8-316288	11/29/1996	JP			(abstract)			
/DK/	JP8-330385	12/13/1996	JP			(abstract)			
/DK/	JP10-181879	07/07/1998	JP			(abstract)			
/DK/	JP59-155141	09/04/1984	JP			(abstract)			
/DK/	JP62-269826	11/24/1987	JP			(abstract)			
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	Other Docume	ents (Including A	uthor, Title, D	Pate, Pertinent Pages,	etc.)				
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Examiner	/Dean Kramer/	· · · · · · · · · · · · · · · · · · ·		Date Consi	dered 06/3	30/2008	<del></del>		
Examiner: In	nitial if citation considered, v	whether or not cita	tion is in confo	ormance with MPEP 60	9; Draw line	through cit	ation		
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